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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/803,460 | 03/18/2004 | Guiting Lin | 301888.3009-101 |

30407
BOWDITCH & DEWEY, LLP
161 WORCESTER ROAD
P.O. BOX 9320
FRAMINGHAM, MA 01701-9320

CONFIRMATION NO. 5541
FORMALITIES LETTER
OC000000012843358
OC000000012843358

Date Mailed: 06/02/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$442** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1342** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

08/05/2004 FFANAI2 00000010 10803460

| | | |
|------------|--------|----|
| 01 FC:1001 | 770.00 | OP |
| 02 FC:1051 | 130.00 | OP |
| 03 FC:1201 | 172.00 | OP |
| 04 FC:1202 | 270.00 | OP |

- Total additional claim fee(s) for this application is **\$442**
 - **\$172** for **2** independent claims over 3.
 - **\$270** for **15** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

(92)

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT APPLICATION
Docket No.: 301888.3009-101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Guiting Lin, Y. Joseph Mo, Mingqi Lu, and Yinglu Guo
Application No.: 10/803,460 Filed Date: March 18, 2004
Confirmation No.: 5541 Group Art: 1614 Examiner: Unassigned
For: Neuronal Growth Enhancement by Prostaglandin Compositions and Methods

| | |
|---|------------------|
| CERTIFICATE OF MAILING | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 | |
| on <u>8/2/04</u> | <u>Mary Anza</u> |
| Date | Signature |
| Mary Anza | |
| Typed or printed name of person signing certificate | |

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In reply to the Notice to File Missing Parts dated June 2, 2004, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- ☒ **EXECUTED DECLARATION/POWER OF ATTORNEY**
(Separate transmittal letter and postcard not required)
- ☒ **FILING FEE** – with Fee Transmittal for Patent Applications in duplicate.
(Separate transmittal letter and postcard not required)
- ☒ **SURCHARGE** – surcharge fee of \$130 (non-small entity) or \$65 (small entity).
(Separate transmittal letter and postcard not required)
- ☐ **SEQUENCE LISTING** – Filed concurrently and is attached.
(Separate transmittal letter and postcard not required)
- ☐ **TRANSMITTAL OF REPLACEMENT SHEETS OF DRAWINGS**
[] Sheets of replacement drawings consisting of Figs. 1 – [] are enclosed. Drawings are being presented in a formal format as replacement drawings. No new subject matter is being introduced.
(Separate transmittal letter and postcard not required)

☐ **INFORMATION DISCLOSURE STATEMENT***(Separate IDS required. Separate postcard not required)*☐ Copies of Cited References☐ ___ Sheets form PTO-1449☐ **PETITION FOR EXTENSION OF TIME**☐ Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated ___ for * month(s) from ___ to ___.

The appropriate fee of \$* is included in the enclosed check.

☐ A * months extension of time to respond to the Notice to File Missing Parts dated * was filed on * with payment of a \$* fee.☐ Applicant hereby petitions for an additional month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$* is included in the enclosed check.*(Separate transmittal letter and postcard not required)*☐ **REQUEST FOR CORRECTED FILING RECEIPT** – Filed concurrently and is attached.*(Separate transmittal letter and postcard not required)*☐ **STATEMENT CLAIMING SMALL ENTITY STATUS**☐ Was filed on*.☐ Is enclosed herewith.*(Separate transmittal letter and postcard not required)*☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$* which were paid on * to be deposited in Deposit Account No.50-1935.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1342.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 50-1935. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

By 

Roger P. Zimmerman

Date: August 12, 2004

Registration No. 38,670


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BOWDITCH & DEWEY, LLP

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|---|------------------------|-----------------|
| FEE TRANSMITTAL FOR PATENT APPLICATIONS  | Attorney Docket Number | 301888.3009-101 |
| | Application Number | 10/803,460 |
| | Confirmation Number | 5541 |
| | First Named Inventor | Guiting Lin |

CLAIM CALCULATION (includes any preliminary amendment)

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|---|------------------|------------------|--------------------------------------|------------------|
| | TOTAL CLAIMS (37 CFR 1.16(b) or (j)) | 35 - 20* = | 15 | x \$ 18 = | \$ 270.00 |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j)) | 5 - 3** = | 2 | x \$ 86 = | \$ 172.00 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | + \$ 290 = | \$ 0.00 |
| | | | | BASIC FEE (37 CFR 1.16(a) or (h)) | \$ 770.00 |
| | | | | Total of above Calculations = | \$ 1212.00 |
| | Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) = | | | | \$ 0.00 |
| | TOTAL = | | | | \$ 1212.00 |
| | Surcharge – Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) = | | | | \$ 130.00 |
| | Petition for Extension of Time Fee (37 C.F.R. 1.17) = | | | | \$ 0.00 |
| | Assignment Recordation Fee = (only when filed with application) | | | | \$ 0.00 |
| | * Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent | | | | |
| | TOTAL = | | | | \$ 1342.00 |

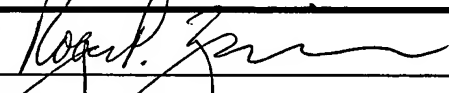
1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 50-1935 for any fees required under 37 CFR §1.16 and §1.17 in order to maintain pendency of this application.
A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$1342.00. ☐ Please charge \$[] to Deposit Account No. 50-1935.

4. ☐ Other: _____

| | | | |
|---------------------------------------|---|-------------|----------------|
| Signature |  | Date | August 2, 2004 |
| Submitted by Typed or Printed Name | Roger P. Zimmerman | Reg. Number | 38,670 |